

SEBI REG. NO. : BSE INB011148237 / NSE CM : INB231148231 NSE F&O : INF231148231
BSE CLEARING NO 475 / NSE TM Code 11482 / F&O CLEARING NO : M51511

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SHORTAGES IN OBLIGATIONS ARISING OUT OF INTERNAL NETTING OF TRADES

In case the client defaults on its existing obligation and in the event the trade has been internally netted off by JMP SECURITIES PVT LTD, there could be internal shortages. The internal shortages are marked against the client randomly at the sole discretion of JMP SECURITIES PVT LTD taking into account the delivery obligations through Exchanges. In case of failure of delivery the client marked for internal netting of trade, the same shall be met through fresh market purchases and the loss on account of the said purchases will be charged to the defaulting client's account. However, defaulting client will not be eligible for any profit on account of this.

JMP SECURITIES PVT LTD shall not be responsible for losses to the Client on account of such shortages. All losses to the client on this account shall be borne solely by the client and the Client shall indemnify JMP SECURITIES PVT LTD in this respect.

For JMP SECURITIES PVT. LTD.



DIRECTOR

